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Bundesministerium für
wirtschaftliche Zusammenarbeit
und Entwicklung

Research Workshop

Sustainable Public Procurement

Governance, Supply Chain Effects and Innovation

26-28 September 2018, Lund University, Sweden



Sustainable Public Procurement – Integrating Research for Successful Implementation

26-28 September 2018

Venue: Lund University Centre for Sustainability Studies (LUCSUS),
Wrangel and Josephsonm buildings, Biskopsgatan 5, 223 62 Lund

Programme

Moderator: Jana Brauer (Collaborating Centre on Sustainable Consumption and Production)

Wednesday, 26 September 2018

13:30	Registration and welcome coffee
14:00	<u>Welcome and MUPASS project presentation</u> Andreas Stamm, Tim Stoffel, Maximilian Müngersdorff (German Development Institute / Deutsches Institut für Entwicklungspolitik, DIE)
14:30	<u>Individual introduction of the workshop participants</u>
15:30	Coffee break
16:00	<u>Functional procurement enhances innovation for sustainability</u> Charles Edquist (CIRCLE, Lund University)
16:30	<u>Research agenda and publication perspectives</u>
17:30	<u>End of workshop day 1</u>
18:30	Joint dinner



Thursday, 27 September 2018

9:00	Welcome coffee
9:30	<u>Welcome note</u> Jeanette Schade (Service Agency Communities in One World)
9:45	<u>Input subject area 1 "Governance of Sustainable Public Procurement"</u> Paula Trindade (Unidade de Energias Renováveis e Integração de Sistemas de Energia, UER)
10:30	<u>Input subject area 2 "The Effects of Sustainable Public Procurement"</u> Claire Methven O'Brien (The Danish Institute for Human Rights)
11:15	Coffee break
11:45	<u>Input subject area 3 "Innovative and Sustainable Public Procurement"</u> Katriina Alhola (Finnish Environment Institute)
12:30	<u>Input subject area 4 "Regulatory Frameworks in support of SPP implementation"</u> Geo Quinot (Stellenbosch University)
13:15	Lunch
14:15	<u>Working group session</u> Exchange on the participants' individual research projects and topics/teams for the publication project
16:15	Coffee break
17:00	<u>Presentation of working group results and plenary discussion</u>
18:00	<u>End of workshop day 2</u>

Friday, 28 September 2018

9:00	Welcome coffee
9:30	<u>Final discussion and research strategy</u>
10:30	<u>"Sustainability walk" in Lund and/or visit of a municipal sustainability project</u>
12:00	<u>End of the workshop</u>

List of Participants

Name	Organisation
Alhola, Katriina	Finnish Environment Institute
Brauer, Jana	Collaborating Centre on Sustainable Consumption and Production (CSCP)
Cravero, Carol	University of Turin
Edquist, Charles	Lund University
Eyo, Ama	Bangor University
La Chimia, Annamaria	University of Nottingham
Müngersdorff, Maximilian	Deutsches Institut für Entwicklungspolitik (DIE)
O'Brien, Claire Methven	University of St. Andrews
Parada Gómez, Alvaro Martín	Universidad Nacional de Costa Rica
Quinot, Geo	Stellenbosch University
Schade, Jeanette	ENGAGEMENT GLOBAL gGmbH
Shai, Lerato	PDG Committed to Development
Stamm, Andreas	Deutsches Institut für Entwicklungspolitik (DIE)
Stoffel, Tim	Deutsches Institut für Entwicklungspolitik (DIE)
Thomasson, Anna	Lund University
Trindade, Paula	Laboratório Nacional de Energia e Geologia (LNEG)
Vargas Falla, Ana Maria	ICLD - Swedish International Centre for Local Democracy

In case of any further questions, regarding content and/or organisation please contact

Tim Stoffel (tim.stoffel@die.gdi.de, +49 (0)228 94927-183) or

Dr. Maximilian Müngersdorff (maximilian.muengersdorff@die-gdi.de, +49 (0)228 94927-271).



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Framing

At the German Development Institute / Deutsches Institut für Entwicklungspolitik (DIE), we are currently conducting the project “Municipalities Promoting and Shaping Sustainable Value Creation (MUPASS) – Public Procurement for Fair and Sustainable Production”. The regional focus is on municipalities in selected countries within Europe, Sub-Saharan Africa and Latin America. Content goals of MUPASS are to create knowledge on governance and change management approaches to support Social Responsible Public Procurement (SRPP), to determine supply chain effects of SRPP and to establish a transformative dialogue platform on SRPP between municipalities from the Global South and North. SRPP is part of the overall concept of Sustainable Public Procurement (SPP) which covers all dimensions of sustainability. Challenges on implementing SRPP are quite similar to those that you find in other sub-dimensions of SPP, e.g. green, economical, and innovative.

Workshop goals and content

The research workshop “Sustainable Public Procurement – Integrating Research for Successful Implementation” represents a key element in the transformative research agenda of MUPASS. Together with renown experts on SPP from our focus regions, we want to identify and jointly work on research gaps, whose closing will help practitioners to procure more sustainably. Thus, the two central goals of the workshop are

- (1) to exchange on individual SPP projects and existing research gaps and
- (2) to develop a strategy for a joint publication.

For both goals, the following four subject areas will serve as points of reference during the workshop.

Subject area 1: Governance of Sustainable Public Procurement – Change Management Approaches

Since many years, SPP, and SRPP in specific, are hailed as a crucial lever to make economic development more inclusive and ecologically sound. It has even entered the Sustainable Development Goals (SDGs) as an instrument to put SDG 12 on Responsible Consumption and Production into effect. At the same time, implementation rates in public entities are low and mostly realized as lighthouse projects. In addition, even those seldom hands-on experiences are rarely analyzed in a scientifically substantiated manner. Respective studies would be essential to create a knowledge base for the broader use of SPP practices.

Guiding questions:

- What change management approaches can be identified in public entities that support SPP?
- What are specific success factors for SPP use on municipal level?
- What are common or different challenges to overcome objections on SPP in different world regions, especially in Europe, Sub-Saharan Africa and Latin America?

Subject area 2: The Effects of Sustainable Public Procurement – Impact Assessment in Supply Chains

SPP is a central instrument for political entities, especially on the sub-national level, to take responsibility for their production and consumption behaviour beyond own borders. However, doubts among practitioners with regard to the effectiveness of including sustainability criteria in tenders is enormous. Heavy reluctance in using



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SPP as a policy instrument is the result. Having said that, the low number of scientifically sound analyses on effects of SPP in supply chains, especially with regard to social objectives, appears surprising. At this point, transformative research has largely failed to deliver up to now.

Guiding questions:

- Which impact mechanisms can we observe between SPP and adjustments towards sustainability on the corporate level?
- In which product groups can we expect direct impacts of SPP on lead firms' value chains?
- What are critical "hotspots" within value chains where sustainability criteria can make a difference?
- What methodological approaches exist to assess the actual impact of SPP on value chains?

Subject area 3: Innovative and Sustainable Public Procurement – Identifying synergies

Sustainable and innovative public procurement are both vigorously discussed and (partly) implemented goals of contemporary purchasing practices of public entities. However, the two goals are mostly dealt with independently. This holds true both for practical action in public entities and for academic analyses. At the same time, the connections between the two goals is obvious. Simply put, an innovative product of nowadays entails in many cases sustainable characteristics, e.g. with regard to energy consumption or emission levels. Likewise, a sustainable product is often innovative, e.g. by improving the lifespan of a product. Besides the product focus, the implementation of both procurement goals entails specific challenges for administrative procedures and structures within public entities.

Guiding questions:

- How to connect innovation promotion with sustainable public purchasing and vice versa?
- How to consider both goals at once within public entities in regulatory and organisational terms?
- Looking at change management approaches to implement SPP and innovative procurement – What can both procurement strategies learn from each other?

Subject area 4: Regulatory Frameworks in support of SPP implementation – Searching for good practices

Many countries worldwide have adopted laws and actions plans on different governance levels to foster SPP. At the same time, most of those countries struggle with a severe implementation deficit in terms of taking sustainability criteria in public tenders into account. This gap between legal provisions and their compliance can be observed in the focus world regions of the MUPASS project, Europe, Latin America and Sub-Saharan Africa. Although other aspects play an important role in SPP implementation as well, such as organisational management or individual commitment, a robust legal basis appears to be the essential fundament for broad and long-term SPP action.

Guiding Questions:

- What specifications in SPP regulations of different governance levels lead to legal certainty?
- There are good practices for supportive legal environments for SPP in many countries. What kind of exchange structures between legal entities make this knowledge accessible for practitioners?



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